



FFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Mark Agro et al. Confirmation No.: Unknown  
Serial No.: 10/825,250 Examiner: Unknown  
Filing Date: April 14, 2004 Group Art Unit: Unknown  
Docket No.: 1001.1698104 Customer No.: 28075  
For: SINGLE OPERATOR EXCHANGE BILIARY CATHETER

***TRANSMITTAL SHEET***

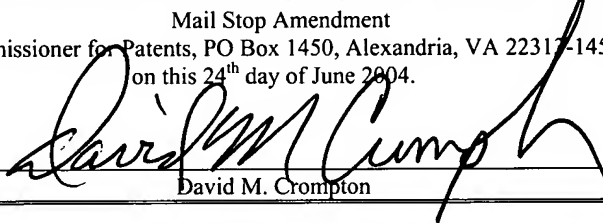
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 24<sup>th</sup> day of June 2004.

By

  
David M. Crompton

We are transmitting herewith the attached:

- [ ] Amendment  
[ ] No additional claim fee required  
[ ] The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[ ] A check in the amount of \$\_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

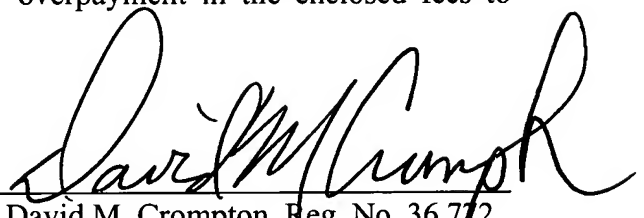
Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: Information Disclosure Statement and Form PTO-1449

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Mark Agro et al.	Confirmation No.:	Unknown
Serial No.:	10/825,250	Examiner:	Unknown
Filing Date:	April 14, 2004	Group Art Unit:	Unknown
Docket No.:	1001.1698104	Customer No.:	28075
For:	SINGLE OPERATOR EXCHANGE BILIARY CATHETER		

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22315-1450  
on this 24<sup>th</sup> day of June 2004.

By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed and brought to the attention of the Examiner.

Pursuant to 37 C.F.R. § 1.98(d), the instant application relies on the earlier filing date of prior application Serial No. 09/978,430 filed October 15, 2001 under 35 U.S.C. § 120. The information disclosure statement submitted in the earlier application is in compliance with 37 C.F.R. § 1.98(a)-(c).

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided, with the exception of the references cited in the earlier application which are not required to be provided for this application in accordance with 37 C.F.R. § 1.98(d).

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

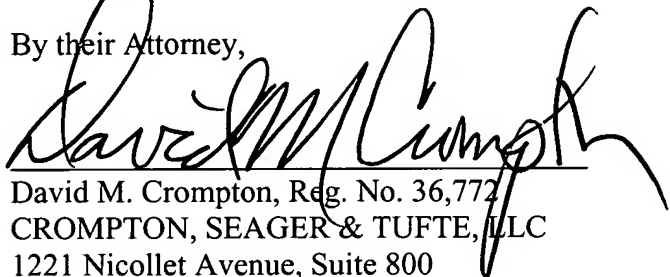
Respectfully submitted,

Mark Argo et al.

By their Attorney,

Date: \_\_\_\_\_

6/24/04



David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



<b>FORM PTO-1449</b>	Atty. Docket No.: 1001.1698104	Serial No.: 10/825,250
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Mark Argo et al.	
	Confirmation No: Unknown	
	Filing Date: April 14, 2004	Group Art: Unknown

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	RE 31,855	03/26/1985	Osborne	
AB	1,204,053	11/07/1916	Moore	
AC	2,623,520	12/30/1952	Bamford, Jr. et al.	
AD	3,015,869	01/09/1962	Rapata	
AE	3,536,281	10/27/1970	Meehan et al.	
AF	4,345,606	08/24/1982	Littleford	
AG	4,411,654	10/25/1983	Boarini et al.	
AH	4,412,832	11/01/1983	Kling et al.	
AI	4,474,174	10/02/1984	Petruzzi	
AJ	4,696,668	09/29/1987	Wilcox	
AK	4,700,694	10/20/1987	Shishido	
AL	4,748,982	06/07/1988	Horzewski et al.	
AM	4,762,129	08/09/1988	Bonzel	
AN	B1 4,762,129	07/02/1991	Bonzel	
AO	4,771,777	09/20/1988	Horzewski et al.	
AP	4,781,677	11/01/1988	Wilcox	
AQ	4,835,824	06/06/1989	Durham et al.	
AR	4,844,092	07/04/1989	Rydell et al.	
AS	4,900,184	02/13/1990	Cleveland	
AT	4,905,667	03/06/1990	Foerster et al.	
AU	4,917,103	04/17/1990	Gambale et al.	
AV	4,927,418	05/22/1990	Dake et al.	
AW	4,928,669	05/29/1990	Sullivan	
AX	4,928,693	05/29/1990	Goodin et al.	
AY	4,932,413	06/12/1990	Shockey et al.	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AZ	4,946,443	08/07/1990	Hauser et al.	
BA	4,973,329	11/27/1990	Park et al.	
BB	4,983,168	01/08/1991	Moorehead	
BC	4,988,356	01/29/1991	Crittenden et al.	
BD	4,995,872	02/26/1991	Ferrara	
BE	4,997,421	03/05/1991	Palsrok et al.	
BF	5,040,548	08/20/1991	Yock	
BG	5,061,273	10/29/1991	Yock	
BH	5,064,414	11/12/1991	Revane	
BI	5,125,915	06/30/1992	Berry et al.	
BJ	5,135,535	08/04/1992	Kramer	
BK	5,139,032	08/18/1992	Jahrmarkt et al.	
BL	5,147,377	09/15/1992	Sahota	
BM	5,158,545	10/27/1992	Trudell et al.	
BN	5,167,634	12/01/1992	Corrigan, Jr. et al.	
BO	5,180,367	01/19/1993	Kontos et al.	
BP	5,191,888	03/09/1993	Palmer et al.	
BQ	5,195,978	03/23/1993	Schiffer	
BR	5,205,822	04/27/1993	Johnson et al.	
BS	5,232,445	08/03/1993	Bonzel	
BT	5,248,306	09/28/1993	Clark et al.	
BU	5,250,033	10/05/1993	Evans et al.	
BV	5,263,932	11/23/1993	Jang	
BW	5,267,958	12/07/1993	Buchbinder et al.	
BX	5,279,562	01/18/1994	Sirhan et al.	
BY	5,281,203	01/25/1994	Ressemann	
BZ	5,282,479	02/01/1994	Havran	
CA	5,290,232	03/01/1994	Johnson et al.	
CB	5,290,241	03/01/1994	Kraus et al.	
CC	5,300,085	04/05/1994	Yock	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CD	5,306,247	04/26/1994	Pffenninger	
CE	5,308,318	05/03/1994	Plassche, Jr.	
CF	5,320,602	06/14/1994	Karpiel	
CG	5,324,259	06/28/1994	Taylor et al.	
CH	5,324,269	06/28/1994	Miraki	
CI	5,328,472	07/12/1994	Steinke et al.	
CJ	5,334,143	08/02/1994	Carroll	
CK	5,334,147	08/02/1994	Johnson	
CL	5,334,187	08/02/1994	Fischell et al.	
CM	5,336,184	08/09/1994	Teirstein	
CN	5,342,297	08/30/1994	Jang	
CO	5,350,395	09/27/1994	Yock	
CP	5,357,978	10/25/1994	Yock	
CQ	5,364,355	11/15/1994	Alden et al.	
CR	5,364,376	11/15/1994	Horzewski et al.	
CS	5,368,567	11/29/1994	Lee	
CT	5,370,623	12/06/1994	Kreamer	
CU	5,380,283	12/06/1994	Johnson	
CV	5,387,226	02/07/1995	Miraki	
CW	5,389,087	02/14/1995	Miraki	
CX	5,395,335	03/07/1995	Jang	
CY	5,397,302	03/14/1995	Weaver et al.	
CZ	5,409,459	04/25/1995	Gambale	
DA	5,413,559	05/09/1995	Sirhan et al.	
DB	5,415,639	05/16/1995	VandenEinde et al.	
DC	5,448,993	09/12/1995	Lynch et al.	
DD	5,449,363	09/12/1995	Brust et al.	
DE	5,451,233	09/19/1995	Yock	
DF	5,454,790	01/03/1995	Dubrul	
DG	5,458,584	10/17/1995	Ginn et al.	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
DH	5,458,605	10/17/1995	Klemm	
DI	5,462,530	10/31/1995	Jang	
DJ	5,480,389	01/02/1996	McWha et al.	
DK	5,489,271	02/06/1996	Andersen	
DL	5,490,837	02/13/1996	Blaeser et al.	
DM	5,496,346	03/05/1996	Horzewski et al.	
DN	5,501,227	03/26/1996	Yock	
DO	5,531,700	07/02/1996	Moore et al.	
DP	5,536,248	07/16/1996	Weaver et al.	
DQ	5,540,236	07/30/1996	Ginn	
DR	5,599,299	02/04/1997	Weaver et al.	
DS	5,599,300	02/04/1997	Weaver et al.	
DT	5,613,949	03/25/1997	Miraki	
DU	5,626,600	05/06/1997	Horzewski et al.	
DV	5,685,853	11/11/1997	Bonnet	
DW	5,693,015	12/02/1997	Walker et al.	
DX	5,706,827	01/13/1998	Ehr et al.	
DY	5,718,680	02/17/1998	Kraus et al.	
DZ	5,725,504	03/10/1998	Collins	
EA	5,788,681	08/04/1998	Weaver et al.	
EB	5,800,414	09/01/1998	Cazal	
EC	5,833,706	11/10/1998	St. Germain et al.	
ED	5,843,028	12/01/1998	Weaver et al.	
EE	5,849,016	12/15/1998	Suhr	
EF	5,921,971	07/13/1999	Agro et al.	
EG	5,935,114	08/10/1999	Jang et al.	
EH	5,978,699	11/02/1999	Fehse et al.	
EI	6,007,522	12/28/1999	Agro et al.	
EJ	6,096,009	08/01/2000	Windheuser et al.	
EK	6,152,910	11/28/2000	Agro et al.	



Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
EL	6,190,333	02/20/2001	Valencia	
EM	6,277,100	08/21/2001	Raulerson et al.	
EN	6,312,404	11/06/2001	Agro et al.	
EO	6,346,093	02/12/2002	Allman et al.	
EP	6,520,951	02/18/2003	Carrillo, Jr. et al.	
EQ	6,582,401	06/24/2003	Windheuser et al.	
ER	6,606,515	08/12/2003	Windheuser et al.	
ES	6,663,597	12/16/2003	Windheuser et al.	
ET	6,746,442	06/08/2004	Agro et al.	

**FOREIGN PATENT DOCUMENTS**

	Document No.	Date	Country	Translation Yes No
EU	DE 41 15 007 A1	11/12/1992	Germany	
EV	EP 0 328 760 A2	08/23/1989	Europe	
EW	EP 0 388 112 A2	09/19/1990	Europe	
EX	EP 0 792 657 A2	09/03/1997	Europe	
EY	EP 0 801 955 B1	10/22/1997	Europe	
EZ	WO 92/03963	03/19/1992	WIPO	
FA	WO 96/33764	10/31/1996	WIPO	
FB	WO 98/10820	03/19/1998	WIPO	
FC	WO 98/10821	03/19/1998	WIPO	
FD	WO 99/38557	08/05/1999	WIPO	
FE	WO 99/59664	11/25/1999	WIPO	
FF	WO 00/69499	11/23/2000	WIPO	
FG	WO 00/69500	11/23/2000	WIPO	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

FH	Arndorfer Inc. Information Sheet, dated on or before March 6, 2000, 7 sheets.
FI	Knecht, Gregory L., M.D. et al., "Double-Channel Fistulotome For Endoscopic Drainage of Pancreatic Pseudocyst", <u>Gastrointestinal Endoscopy</u> , Vol. 37, No. 3, May/June 1991, pp. 356-357.
FJ	Siegel, Jerome H., M.D. et al., "Two New Methods For Selective Bile Duct Cannulation and Sphincterotomy", <u>Gastrointestinal Endoscopy</u> , Vol. 33, No. 6, December 1987, pp.438-440.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.